

DOCKET NO. 2345.2046-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

[ ] Supplemental (37 C.F.R. §1.67)

As a named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 2 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

HUMAN TYPE II DIABETES GENE-SLIT-3 LOCATED ON CHROMOSOME 5q35

the specification of which (check one)

- [ ] is attached hereto.
- [ ] was filed on [ ] as United States Application Number [ ].
- [X] was filed on October 31, 2003 as PCT International Application No. PCT/US03/34801 and assigned United States Application No. 10/533,365 ✓
- [ ] and was amended on [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application.

I hereby claim foreign priority benefits under 35 U.S.C. 119 or 365 of any foreign application(s) for patent or inventor's certificate, or of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Filed?	
(Number)	(Country)	(Day/Month/Year filed)	[ ]	YES [ ]	NO [ ]
_____	_____	_____	[ ]	[ ]	[ ]
(Number)	(Country)	(Day/Month/Year filed)	[ ]	[ ]	[ ]
_____	_____	_____	[ ]	[ ]	[ ]
(Number)	(Country)	(Day/Month/Year filed)	[ ]	[ ]	[ ]
_____	_____	_____	[ ]	[ ]	[ ]

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00 Full name of sole or first inventor Inga Reynisdottir  
 Inventor's Signature Inga Reynisdottir Oct. 7, 2005  
 Residence: City State Country Citizenship  

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2-00 Full name of second joint inventor, if any Jeffrey R. Gulcher  
 Inventor's Signature Jeff R. Gulcher Oct 7, 2005  
 Residence: City State Country Citizenship  

Lake Barrington	Illinois	IL	USA	USA
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Lake Barrington	Illinois	60010	USA
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3-00 Full name of third joint inventor, if any Struan F. Grant  
 Inventor's Signature Struan F. Grant Oct 5, 2005  
 Residence: City State Country Citizenship  

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4-00 Full name of fourth joint inventor, if any Gudmar Thorleifsson  
 Inventor's Signature Gudmar Thorleifsson Oct 10, 2007  
 Residence: City State Country Citizenship  

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## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/533,365
Int'l Filing Date	31 October 2003
First Named Inventor	Inga Reynisdottir
Confirmation Number	Unknown
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	2345.2046-007

**Title** HUMAN TYPE II DIABETES GENE-SLIT-3 LOCATED ON CHROMOSOME 5q35

I hereby appoint

☒ Practitioners associated with **Customer No. 021005**

☐ Practitioner(s) named below:

as my/our attorneys/agents to prosecute the application identified above, including any continuation or divisional applications thereof, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ Customer Number **021005**

Hamilton, Brook, Smith & Reynolds, P.C.

530 Virginia Road

P.O. Box 9133

Concord, Massachusetts 01742-9133

☐ Other \_\_\_\_\_

Please direct all telephone calls and facsimiles to:

Name Alice O. Carroll, Esq. Tel. No. 978-341-0036 Fax No. 978-341-0136

I am the:

☐ Applicant/Inventor.

☒ Authorized representative of the Assignee, deCODE genetics ehf., of the entire interest. See 37 C.F.R. § 3.71. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

☐ Authorized representative of the Assignee, [ ], together with [ ], of the entire interest. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name & Title

Date

*J. Hjartarson*

Johann Hjartarson, Director of Legal Affairs

10/19/05

Docket No. 2345.2046-007

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Inventors: Inga Reynisdottir, Jeffrey R. Gulcher, Struan F. Grant and Gudmar Thorleifsson

Application No./Patent No.: 10/533,365

Int'l Filing Date: 31 October 2003 (PCT)

For: HUMAN TYPE II DIABETES GENE-SLIT-3 LOCATED ON CHROMOSOME 5q35

deCODE genetics ehf.

(Name of Assignee)

, a

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☐ an assignee together with ☐ of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature: \_\_\_\_\_

Name: Jóhann Hjartarson

Title: Director of Legal Affairs

Date: 10/19/05

Joint

ASSIGNMENT

WHEREAS, we, **Inga Reynisdottir, Jeffrey R. Gulcher, Struan F. Grant and Gudmar Thorleifsson**, have invented a certain improvement in **HUMAN TYPE II DIABETES GENE-SLIT-3 LOCATED ON CHROMOSOME 5q35** described in an application for Patent,

- ☐ the specification of which is about to be filed in the United States Patent Office (*use for utility (37 CFR § 1.53(b)) and design filings only*);
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☐ the specification of which is United States Application No. [ ], filed [ ];
- ☒ the specification of which is a Patent Cooperation Treaty Application, International Application No. **PCT/US03/34801**, filed October 31, 2003, which designates the United States of America and assigned United States Application No. 10/533,365;
- ☐ which was patented under United States Patent No. [ ].

WHEREAS, **deCODE genetics ehf.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of **Iceland**, and having a usual place of business at **Sturlugötu 8, 1001 Reykjavik, Iceland** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; I hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

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-2-

Docket No. 2345.2046-007

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor Inga Reynisdottir Date 7. Oct. 2005  
Inga Reynisdottir

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105 Reykjavik, Iceland

Witness

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Witness

Helgi H. Hannesson

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-3-

Docket No. 2345.2046-007

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Rec'd PCT/PTO 09 NOV 2005

Docket No. 2345.2046-007

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